

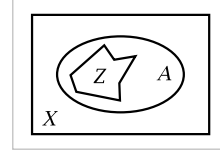
For example, taking  $B$  to be a point, the long exact sequence of the triple  $(X, A, B)$  becomes the long exact sequence of reduced homology for the pair  $(X, A)$ .

### Excision

A fundamental property of relative homology groups is given by the following **Excision Theorem**, describing when the relative groups  $H_n(X, A)$  are unaffected by deleting, or excising, a subset  $Z \subset A$ .

**Theorem 2.20.** *Given subspaces  $Z \subset A \subset X$  such that the closure of  $Z$  is contained in the interior of  $A$ , then the inclusion  $(X - Z, A - Z) \hookrightarrow (X, A)$  induces isomorphisms  $H_n(X - Z, A - Z) \rightarrow H_n(X, A)$  for all  $n$ . Equivalently, for subspaces  $A, B \subset X$  whose interiors cover  $X$ , the inclusion  $(B, A \cap B) \hookrightarrow (X, A)$  induces isomorphisms  $H_n(B, A \cap B) \rightarrow H_n(X, A)$  for all  $n$ .*

The translation between the two versions is obtained by setting  $B = X - Z$  and  $Z = X - B$ . Then  $A \cap B = A - Z$  and the condition  $\text{cl } Z \subset \text{int } A$  is equivalent to  $X = \text{int } A \cup \text{int } B$  since  $X - \text{int } B = \text{cl } Z$ .



The proof of the excision theorem will involve a rather lengthy technical detour involving a construction known as barycentric subdivision, which allows homology groups to be computed using small singular simplices. In a metric space 'smallness' can be defined in terms of diameters, but for general spaces it will be defined in terms of covers.

For a space  $X$ , let  $\mathcal{U} = \{U_j\}$  be a collection of subspaces of  $X$  whose interiors form an open cover of  $X$ , and let  $C_n^{\mathcal{U}}(X)$  be the subgroup of  $C_n(X)$  consisting of chains  $\sum_i n_i \sigma_i$  such that each  $\sigma_i$  has image contained in some set in the cover  $\mathcal{U}$ . The boundary map  $\partial: C_n(X) \rightarrow C_{n-1}(X)$  takes  $C_n^{\mathcal{U}}(X)$  to  $C_{n-1}^{\mathcal{U}}(X)$ , so the groups  $C_n^{\mathcal{U}}(X)$  form a chain complex. We denote the homology groups of this chain complex by  $H_n^{\mathcal{U}}(X)$ .

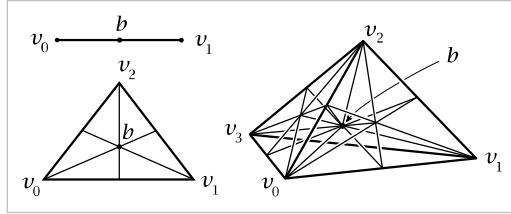
**Proposition 2.21.** *The inclusion  $\iota: C_n^{\mathcal{U}}(X) \hookrightarrow C_n(X)$  is a chain homotopy equivalence, that is, there is a chain map  $\rho: C_n(X) \rightarrow C_n^{\mathcal{U}}(X)$  such that  $\iota\rho$  and  $\rho\iota$  are chain homotopic to the identity. Hence  $\iota$  induces isomorphisms  $H_n^{\mathcal{U}}(X) \approx H_n(X)$  for all  $n$ .*

**Proof:** The barycentric subdivision process will be performed at four levels, beginning with the most geometric and becoming increasingly algebraic.

(1) **Barycentric Subdivision of Simplices.** The points of a simplex  $[v_0, \dots, v_n]$  are the linear combinations  $\sum_i t_i v_i$  with  $\sum_i t_i = 1$  and  $t_i \geq 0$  for each  $i$ . The **barycenter** or 'center of gravity' of the simplex  $[v_0, \dots, v_n]$  is the point  $b = \sum_i t_i v_i$  whose barycentric coordinates  $t_i$  are all equal, namely  $t_i = 1/(n+1)$  for each  $i$ . The **barycentric subdivision** of  $[v_0, \dots, v_n]$  is the decomposition of  $[v_0, \dots, v_n]$  into the  $n$ -simplices  $[b, w_0, \dots, w_{n-1}]$  where, inductively,  $[w_0, \dots, w_{n-1}]$  is an  $(n-1)$ -simplex in the

barycentric subdivision of a face  $[v_0, \dots, \hat{v}_i, \dots, v_n]$ . The induction starts with the case  $n = 0$  when the barycentric subdivision of  $[v_0]$  is defined to be just  $[v_0]$  itself.

The next two cases  $n = 1, 2$  and part of the case  $n = 3$  are shown in the figure. It follows from the inductive definition that the vertices of simplices in the barycentric subdivision of  $[v_0, \dots, v_n]$  are exactly the barycenters of all



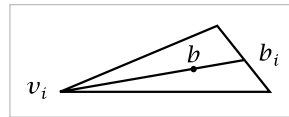
the  $k$ -dimensional faces  $[v_{i_0}, \dots, v_{i_k}]$  of  $[v_0, \dots, v_n]$  for  $0 \leq k \leq n$ . When  $k = 0$  this gives the original vertices  $v_i$  since the barycenter of a 0-simplex is itself. The barycenter of  $[v_{i_0}, \dots, v_{i_k}]$  has barycentric coordinates  $t_i = 1/(k + 1)$  for  $i = i_0, \dots, i_k$  and  $t_i = 0$  otherwise.

The  $n$ -simplices of the barycentric subdivision of  $\Delta^n$ , together with all their faces, do in fact form a  $\Delta$ -complex structure on  $\Delta^n$ , indeed a simplicial complex structure, though we shall not need to know this in what follows.

A fact we will need is that the diameter of each simplex of the barycentric subdivision of  $[v_0, \dots, v_n]$  is at most  $n/(n + 1)$  times the diameter of  $[v_0, \dots, v_n]$ . Here the diameter of a simplex is by definition the maximum distance between any two of its points, and we are using the metric from the ambient Euclidean space  $\mathbb{R}^m$  containing  $[v_0, \dots, v_n]$ . The diameter of a simplex equals the maximum distance between any of its vertices because the distance between two points  $v$  and  $\sum_i t_i v_i$  of  $[v_0, \dots, v_n]$  satisfies the inequality

$$|v - \sum_i t_i v_i| = |\sum_i t_i (v - v_i)| \leq \sum_i t_i |v - v_i| \leq \sum_i t_i \max |v - v_i| = \max |v - v_i|$$

To obtain the bound  $n/(n + 1)$  on the ratio of diameters, we therefore need to verify that the distance between any two vertices  $w_j$  and  $w_k$  of a simplex  $[w_0, \dots, w_n]$  of the barycentric subdivision of  $[v_0, \dots, v_n]$  is at most  $n/(n + 1)$  times the diameter of  $[v_0, \dots, v_n]$ . If neither  $w_i$  nor  $w_j$  is the barycenter  $b$  of  $[v_0, \dots, v_n]$ , then these two points lie in a proper face of  $[v_0, \dots, v_n]$  and we are done by induction on  $n$ . So we may suppose  $w_j$ , say, is the barycenter  $b$ , and then by the previous displayed inequality we may take  $w_k$  to be a vertex  $v_i$ . Let  $b_i$  be the barycenter of  $[v_0, \dots, \hat{v}_i, \dots, v_n]$ , with all barycentric coordinates equal to  $1/n$  except for  $t_i = 0$ . Then we have  $b = \frac{1}{n+1} v_i + \frac{n}{n+1} b_i$ . The sum of the two coefficients is 1, so  $b$  lies on the line segment  $[v_i, b_i]$  from  $v_i$  to  $b_i$ , and the distance from  $b$  to  $v_i$  is  $n/(n + 1)$  times the length of  $[v_i, b_i]$ . Hence the distance from  $b$  to  $v_i$  is bounded by  $n/(n + 1)$  times the diameter of  $[v_0, \dots, v_n]$ .



The significance of the factor  $n/(n + 1)$  is that by repeated barycentric subdivision we can produce simplices of arbitrarily small diameter since  $(n/(n + 1))^r$  approaches

0 as  $r$  goes to infinity. It is important that the bound  $n/(n+1)$  does not depend on the shape of the simplex since repeated barycentric subdivision produces simplices of many different shapes.

(2) *Barycentric Subdivision of Linear Chains.* The main part of the proof will be to construct a subdivision operator  $S: C_n(X) \rightarrow C_n(X)$  and show this is chain homotopic to the identity map. First we will construct  $S$  and the chain homotopy in a more restricted linear setting.

For a convex set  $Y$  in some Euclidean space, the linear maps  $\Delta^n \rightarrow Y$  generate a subgroup of  $C_n(Y)$  that we denote  $LC_n(Y)$ , the *linear chains*. The boundary map  $\partial: C_n(Y) \rightarrow C_{n-1}(Y)$  takes  $LC_n(Y)$  to  $LC_{n-1}(Y)$ , so the linear chains form a subcomplex of the singular chain complex of  $Y$ . We can uniquely designate a linear map  $\lambda: \Delta^n \rightarrow Y$  by  $[w_0, \dots, w_n]$  where  $w_i$  is the image under  $\lambda$  of the  $i^{\text{th}}$  vertex of  $\Delta^n$ . To avoid having to make exceptions for 0-simplices it will be convenient to augment the complex  $LC(Y)$  by setting  $LC_{-1}(Y) = \mathbb{Z}$  generated by the empty simplex  $[\emptyset]$ , with  $\partial[w_0] = [\emptyset]$  for all 0-simplices  $[w_0]$ .

Each point  $b \in Y$  determines a homomorphism  $b: LC_n(Y) \rightarrow LC_{n+1}(Y)$  defined on basis elements by  $b([w_0, \dots, w_n]) = [b, w_0, \dots, w_n]$ . Geometrically, the homomorphism  $b$  can be regarded as a cone operator, sending a linear chain to the cone having the linear chain as the base of the cone and the point  $b$  as the tip of the cone. Applying the usual formula for  $\partial$ , we obtain the relation  $\partial b([w_0, \dots, w_n]) = [w_0, \dots, w_n] - b(\partial[w_0, \dots, w_n])$ . By linearity it follows that  $\partial b(\alpha) = \alpha - b(\partial\alpha)$  for all  $\alpha \in LC_n(Y)$ . This expresses algebraically the geometric fact that the boundary of a cone consists of its base together with the cone on the boundary of its base. The relation  $\partial b(\alpha) = \alpha - b(\partial\alpha)$  can be rewritten as  $\partial b + b\partial = \mathbb{1}$ , so  $b$  is a chain homotopy between the identity map and the zero map on the augmented chain complex  $LC(Y)$ .

Now we define a subdivision homomorphism  $S: LC_n(Y) \rightarrow LC_n(Y)$  by induction on  $n$ . Let  $\lambda: \Delta^n \rightarrow Y$  be a generator of  $LC_n(Y)$  and let  $b_\lambda$  be the image of the barycenter of  $\Delta^n$  under  $\lambda$ . Then the inductive formula for  $S$  is  $S(\lambda) = b_\lambda(S\partial\lambda)$  where  $b_\lambda: LC_{n-1}(Y) \rightarrow LC_n(Y)$  is the cone operator defined in the preceding paragraph. The induction starts with  $S([\emptyset]) = [\emptyset]$ , so  $S$  is the identity on  $LC_{-1}(Y)$ . It is also the identity on  $LC_0(Y)$ , since when  $n = 0$  the formula for  $S$  becomes  $S([w_0]) = w_0(S\partial[w_0]) = w_0(S([\emptyset])) = w_0([\emptyset]) = [w_0]$ . When  $\lambda$  is an embedding, with image a genuine  $n$ -simplex  $[w_0, \dots, w_n]$ , then  $S(\lambda)$  is the sum of the  $n$ -simplices in the barycentric subdivision of  $[w_0, \dots, w_n]$ , with certain signs that could be computed explicitly. This is apparent by comparing the inductive definition of  $S$  with the inductive definition of the barycentric subdivision of a simplex.

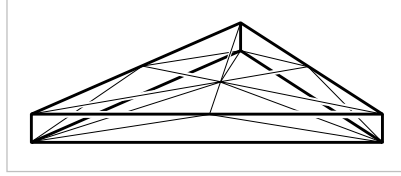
Let us check that the maps  $S$  satisfy  $\partial S = S\partial$ , and hence give a chain map from the chain complex  $LC(Y)$  to itself. Since  $S = \mathbb{1}$  on  $LC_0(Y)$  and  $LC_{-1}(Y)$ , we certainly have  $\partial S = S\partial$  on  $LC_0(Y)$ . The result for larger  $n$  is given by the following calculation, in which we omit some parentheses to unclutter the formulas:

$$\begin{aligned}
\partial S\lambda &= \partial(b_\lambda(S\partial\lambda)) \\
&= S\partial\lambda - b_\lambda(\partial S\partial\lambda) && \text{since } \partial b_\lambda + b_\lambda\partial = \mathbb{1} \\
&= S\partial\lambda - b_\lambda(S\partial\partial\lambda) && \text{by induction on } n \\
&= S\partial\lambda && \text{since } \partial\partial = 0
\end{aligned}$$

We next build a chain homotopy  $T: LC_n(Y) \rightarrow LC_{n+1}(Y)$  between  $S$  and the identity, fitting into a diagram

$$\begin{array}{ccccccccccc}
\cdots & \longrightarrow & LC_2(Y) & \longrightarrow & LC_1(Y) & \longrightarrow & LC_0(Y) & \longrightarrow & LC_{-1}(Y) & \longrightarrow & 0 \\
& & \downarrow S & \swarrow T & \downarrow S & \swarrow T & \downarrow S & \swarrow T_0 & \downarrow S & \swarrow \mathbb{1} & \\
\cdots & \longrightarrow & LC_2(Y) & \longrightarrow & LC_1(Y) & \longrightarrow & LC_0(Y) & \longrightarrow & LC_{-1}(Y) & \longrightarrow & 0
\end{array}$$

We define  $T$  on  $LC_n(Y)$  inductively by setting  $T = 0$  for  $n = -1$  and letting  $T\lambda = b_\lambda(\lambda - T\partial\lambda)$  for  $n \geq 0$ . The geometric motivation for this formula is an inductively defined subdivision of  $\Delta^n \times I$  obtained by joining all simplices in  $\Delta^n \times \{0\} \cup \partial\Delta^n \times I$  to the barycenter of  $\Delta^n \times \{1\}$ , as indicated in the figure in the case  $n = 2$ . What  $T$  actually does is take the image of this subdivision under the projection  $\Delta^n \times I \rightarrow \Delta^n$ .



The chain homotopy formula  $\partial T + T\partial = \mathbb{1} - S$  is trivial on  $LC_{-1}(Y)$  where  $T = 0$  and  $S = \mathbb{1}$ . Verifying the formula on  $LC_n(Y)$  with  $n \geq 0$  is done by the calculation

$$\begin{aligned}
\partial T\lambda &= \partial(b_\lambda(\lambda - T\partial\lambda)) \\
&= \lambda - T\partial\lambda - b_\lambda(\partial(\lambda - T\partial\lambda)) && \text{since } \partial b_\lambda = \mathbb{1} - b_\lambda\partial \\
&= \lambda - T\partial\lambda - b_\lambda(S\partial\lambda + T\partial\partial\lambda) && \text{by induction on } n \\
&= \lambda - T\partial\lambda - S\lambda && \text{since } \partial\partial = 0 \text{ and } S\lambda = b_\lambda(S\partial\lambda)
\end{aligned}$$

Now we are done with inductive arguments and we can discard the group  $LC_{-1}(Y)$  which was used only as a convenience. The relation  $\partial T + T\partial = \mathbb{1} - S$  still holds without  $LC_{-1}(Y)$  since  $T$  was zero on  $LC_{-1}(Y)$ .

**(3) Barycentric Subdivision of General Chains.** Define  $S: C_n(X) \rightarrow C_n(X)$  by setting  $S\sigma = \sigma_\# S\Delta^n$  for a singular  $n$ -simplex  $\sigma: \Delta^n \rightarrow X$ . Since  $S\Delta^n$  is the sum of the  $n$ -simplices in the barycentric subdivision of  $\Delta^n$ , with certain signs,  $S\sigma$  is the corresponding signed sum of the restrictions of  $\sigma$  to the  $n$ -simplices of the barycentric subdivision of  $\Delta^n$ . The operator  $S$  is a chain map since

$$\begin{aligned}
\partial S\sigma &= \partial\sigma_\# S\Delta^n = \sigma_\# \partial S\Delta^n = \sigma_\# S\partial\Delta^n \\
&= \sigma_\# S(\sum_i (-1)^i \Delta_i^n) && \text{where } \Delta_i^n \text{ is the } i^{\text{th}} \text{ face of } \Delta^n \\
&= \sum_i (-1)^i \sigma_\# S\Delta_i^n \\
&= \sum_i (-1)^i S(\sigma|_{\Delta_i^n}) \\
&= S(\sum_i (-1)^i \sigma|_{\Delta_i^n}) = S(\partial\sigma)
\end{aligned}$$

In similar fashion we define  $T: C_n(X) \rightarrow C_{n+1}(X)$  by  $T\sigma = \sigma_{\sharp} T\Delta^n$ , and this gives a chain homotopy between  $S$  and the identity, since the formula  $\partial T + T\partial = \mathbb{1} - S$  holds by the calculation

$$\begin{aligned} \partial T\sigma &= \partial \sigma_{\sharp} T\Delta^n = \sigma_{\sharp} \partial T\Delta^n = \sigma_{\sharp} (\Delta^n - S\Delta^n - T\partial\Delta^n) = \sigma - S\sigma - \sigma_{\sharp} T\partial\Delta^n \\ &= \sigma - S\sigma - T(\partial\sigma) \end{aligned}$$

where the last equality follows just as in the previous displayed calculation, with  $S$  replaced by  $T$ .

(4) *Iterated Barycentric Subdivision.* A chain homotopy between  $\mathbb{1}$  and the iterate  $S^m$  is given by the operator  $D_m = \sum_{0 \leq i < m} TS^i$  since

$$\begin{aligned} \partial D_m + D_m \partial &= \sum_{0 \leq i < m} (\partial TS^i + TS^i \partial) = \sum_{0 \leq i < m} (\partial TS^i + T\partial S^i) = \\ &= \sum_{0 \leq i < m} (\partial T + T\partial) S^i = \sum_{0 \leq i < m} (\mathbb{1} - S) S^i = \sum_{0 \leq i < m} (S^i - S^{i+1}) = \mathbb{1} - S^m \end{aligned}$$

For each singular  $n$ -simplex  $\sigma: \Delta^n \rightarrow X$  there exists an  $m$  such that  $S^m(\sigma)$  lies in  $C_n^{\text{ll}}(X)$  since the diameter of the simplices of  $S^m(\Delta^n)$  will be less than a Lebesgue number of the cover of  $\Delta^n$  by the open sets  $\sigma^{-1}(\text{int } U_j)$  if  $m$  is large enough. (Recall that a Lebesgue number for an open cover of a compact metric space is a number  $\varepsilon > 0$  such that every set of diameter less than  $\varepsilon$  lies in some set of the cover; such a number exists by an elementary compactness argument.) We cannot expect the same number  $m$  to work for all  $\sigma$ 's, so let us define  $m(\sigma)$  to be the smallest  $m$  such that  $S^m\sigma$  is in  $C_n^{\text{ll}}(X)$ .

Suppose we define  $D: C_n(X) \rightarrow C_{n+1}(X)$  by  $D\sigma = D_{m(\sigma)}\sigma$ . To see whether  $D$  is a chain homotopy, we manipulate the chain homotopy equation

$$\partial D_{m(\sigma)}\sigma + D_{m(\sigma)}\partial\sigma = \sigma - S^{m(\sigma)}\sigma$$

into an equation whose left side is  $\partial D\sigma + D\partial\sigma$  by moving the second term on the left side to the other side of the equation and adding  $D\partial\sigma$  to both sides:

$$\partial D\sigma + D\partial\sigma = \sigma - [S^{m(\sigma)}\sigma + D_{m(\sigma)}(\partial\sigma) - D(\partial\sigma)]$$

If we define  $\rho(\sigma)$  to be the expression in brackets in this last equation, then this equation has the form

$$(*) \quad \partial D\sigma + D\partial\sigma = \sigma - \rho(\sigma)$$

We claim that  $\rho(\sigma) \in C_n^{\text{ll}}(X)$ . This is obvious for the term  $S^{m(\sigma)}\sigma$ . For the remaining part  $D_{m(\sigma)}(\partial\sigma) - D(\partial\sigma)$ , note first that if  $\sigma_j$  denotes the restriction of  $\sigma$  to the  $j^{\text{th}}$  face of  $\Delta^n$ , then  $m(\sigma_j) \leq m(\sigma)$ , so every term  $TS^i(\sigma_j)$  in  $D(\partial\sigma)$  will be a term in  $D_{m(\sigma)}(\partial\sigma)$ . Thus  $D_{m(\sigma)}(\partial\sigma) - D(\partial\sigma)$  is a sum of terms  $TS^i(\sigma_j)$  with  $i \geq m(\sigma_j)$ , and these terms lie in  $C_n^{\text{ll}}(X)$  since  $T$  takes  $C_{n-1}^{\text{ll}}(X)$  to  $C_n^{\text{ll}}(X)$ .

We can thus regard the equation (\*) as defining  $\rho: C_n(X) \rightarrow C_n^{\text{ll}}(X)$ . For varying  $n$  these  $\rho$ 's form a chain map since (\*) implies  $\partial\rho(\sigma) = \partial\sigma - \partial D\partial\sigma = \rho(\partial\sigma)$ .

The equation (\*) says that  $\partial D + D\partial = \mathbb{1} - \iota\rho$  for  $\iota: C_n^{\mathcal{U}}(X) \hookrightarrow C_n(X)$  the inclusion. Furthermore,  $\rho\iota = \mathbb{1}$  since  $D$  is identically zero on  $C_n^{\mathcal{U}}(X)$ , as  $m(\sigma) = 0$  if  $\sigma$  is in  $C_n^{\mathcal{U}}(X)$ , hence the summation defining  $D\sigma$  is empty. Thus we have shown that  $\rho$  is a chain homotopy inverse for  $\iota$ .  $\square$

**Proof of the Excision Theorem:** We prove the second version, involving a decomposition  $X = A \cup B$ . For the cover  $\mathcal{U} = \{A, B\}$  we introduce the suggestive notation  $C_n(A+B)$  for  $C_n^{\mathcal{U}}(X)$ , the sums of chains in  $A$  and chains in  $B$ . At the end of the preceding proof we had formulas  $\partial D + D\partial = \mathbb{1} - \iota\rho$  and  $\rho\iota = \mathbb{1}$ . All the maps appearing in these formulas take chains in  $A$  to chains in  $A$ , so they induce quotient maps when we factor out chains in  $A$ . These quotient maps automatically satisfy the same two formulas, so the inclusion  $C_n(A+B)/C_n(A) \hookrightarrow C_n(X)/C_n(A)$  induces an isomorphism on homology. The map  $C_n(B)/C_n(A \cap B) \rightarrow C_n(A+B)/C_n(A)$  induced by inclusion is obviously an isomorphism since both quotient groups are free with basis the singular  $n$ -simplices in  $B$  that do not lie in  $A$ . Hence we obtain the desired isomorphism  $H_n(B, A \cap B) \approx H_n(X, A)$  induced by inclusion.  $\square$

All that remains in the proof of Theorem 2.13 is to replace relative homology groups with absolute homology groups. This is achieved by the following result.

**Proposition 2.22.** *For good pairs  $(X, A)$ , the quotient map  $q: (X, A) \rightarrow (X/A, A/A)$  induces isomorphisms  $q_*: H_n(X, A) \rightarrow H_n(X/A, A/A) \approx \tilde{H}_n(X/A)$  for all  $n$ .*

**Proof:** Let  $V$  be a neighborhood of  $A$  in  $X$  that deformation retracts onto  $A$ . We have a commutative diagram

$$\begin{array}{ccccc} H_n(X, A) & \longrightarrow & H_n(X, V) & \longleftarrow & H_n(X-A, V-A) \\ \downarrow q_* & & \downarrow q_* & & \downarrow q_* \\ H_n(X/A, A/A) & \longrightarrow & H_n(X/A, V/A) & \longleftarrow & H_n(X/A-A/A, V/A-A/A) \end{array}$$

The upper left horizontal map is an isomorphism since in the long exact sequence of the triple  $(X, V, A)$  the groups  $H_n(V, A)$  are zero for all  $n$ , because a deformation retraction of  $V$  onto  $A$  gives a homotopy equivalence of pairs  $(V, A) \simeq (A, A)$ , and  $H_n(A, A) = 0$ . The deformation retraction of  $V$  onto  $A$  induces a deformation retraction of  $V/A$  onto  $A/A$ , so the same argument shows that the lower left horizontal map is an isomorphism as well. The other two horizontal maps are isomorphisms directly from excision. The right-hand vertical map  $q_*$  is an isomorphism since  $q$  restricts to a homeomorphism on the complement of  $A$ . From the commutativity of the diagram it follows that the left-hand  $q_*$  is an isomorphism.  $\square$

This proposition shows that relative homology can be expressed as reduced absolute homology in the case of good pairs  $(X, A)$ , but in fact there is a way of doing this for arbitrary pairs. Consider the space  $X \cup CA$  where  $CA$  is the cone  $(A \times I)/(A \times \{0\})$